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April 14, 1999

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Application of Frederic GOURGUE, Fabienne ROOSEN
DEVICE ENABLING DIFFERENT SPREADING FACTORS WHILST PRESERVING
A COMMON RAMBLING CODE, IN PARTICULAR FOR TRANSMISSION IN A
CODE DIVISION MULTIPLE ACCESS CELLULAR
MOBILE RADIO SYSTEM
Our Ref. Q053991

Dear Sir:

Attached hereto is the application identified above including 15 sheets of the specification, claims, and 4 sheets of informal drawings. The executed Declaration and Power of Attorney and Assignment will be submitted at a later date. Also enclosed is the Preliminary Amendment.

BEFORE CALCULATING THE FEE, PLEASE SEE THE ATTACHED PRELIMINARY AMENDMENT

The Government filing fee is calculated as follows:

Total claims	<u>6</u> - 20	=	<u> </u>	x	\$18.00	=	<u> </u>	\$0.00
Independent claims	<u>2</u> - 3	=	<u> </u>	x	\$78.00	=	<u> </u>	\$0.00
Base Fee								\$760.00

TOTAL FILING FEE **\$760.00**

A check for the statutory filing fee of \$760.00 is attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. § 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from August 12, 1998 based on French Application No. 9810345. The priority document will be filed at a later date.

Respectfully submitted,
SUGHRUE, MION, ZINN,
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Attorneys for Applicant

By: David J. Cushing
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Frederic GOURGUE, et al.

Attorney Docket Q53991

Appln. No.:

Group Art Unit:

Filed: April 14, 1999

Examiner:

For: DEVICE ENABLING DIFFERENT SPREADING FACTORS WHILST PRESERVING A
COMMON RAMBLING CODE, IN PARTICULAR FOR TRANSMISSION IN A CODE
DIVISION MULTIPLE ACCESS CELLULAR
MOBILE RADIO SYSTEM

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 5, line 2, delete "any of claims 1";

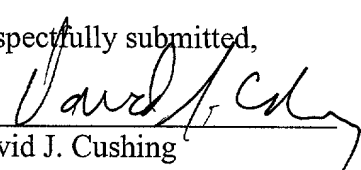
line 3, delete "to 4" and insert --claim 1--.

Claim 6, line 3, delete "any of claims 1 to 4" and insert --claim 1--.

REMARKS

Entry and consideration of the above amendment is respectfully requested.

Respectfully submitted,


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DEVICE ENABLING DIFFERENT SPREADING FACTORS WHILST
PRESERVING A COMMON SCRAMBLING CODE, IN PARTICULAR FOR
TRANSMISSION IN A CODE DIVISION MULTIPLE ACCESS CELLULAR
MOBILE RADIO SYSTEM

5 The present invention is generally concerned with
spread spectrum communication systems, i.e. systems in
which spectrum spreading is applied to a sequence of data
to be transmitted, on transmission, using a spreading
code, and, conversely, despreading is effected on
10 reception, in order to find the original sequence.

BACKGROUND OF THE INVENTION

Spreading an incident data sequence of N symbols,
denoted (d_1, d_2, \dots, d_N) , using a code of length (or
spreading factor) Q, denoted $c_q = (c_1, c_2, \dots, c_Q)$,
15 produces a sequence of length Q.N, which can be
represented as follows:

$$(d_1.c_1, d_1.c_2, \dots, d_1.c_Q, d_2.c_1, d_2.c_2, \dots, d_N.c_Q)$$

where $d_1.c_1$ represents the multiplication of d_1 by
20 c_1 .

An alternative representation of the spread sequence
of length Q.N is $(d_1.c_q, d_2.c_q, \dots, d_N.c_q)$ where $d_1.c_q$
represents the product of the symbol d_1 by the spreading
code c_q .

A more general approach to spreading consists in
25 having a different spreading code for each symbol of the
input sequence, in which case the resulting spread
sequence can be expressed in the form:

$$(d_1.c_q^{(1)}, d_2.c_q^{(2)}, \dots, d_N.c_q^{(N)}), c_q^{(1)} \text{ being the spreading code}$$

associated with the symbol d_1 . All the spreading codes
30 preferably have the same length Q so that the resulting

spread sequence has the length $Q.N$.

Figure 1 outlines the principle of spreading, T_s designating the basic period (or symbol period) of a non-spread sequence and T_c designating the basic period (or "chip" period) of a spread sequence, T_s and T_c being related by the equation $T_s = Q.T_c$. In the figure d_n and d_{n+1} correspond to two successive symbols of a non-spread incoming sequence and d'_1 and d'_{1+1} correspond to two successive basic symbols (or "chips") of the same spread symbol of the incoming sequence.

One benefit of the above systems is enabling a plurality of users to share the same frequency band by allocating different users different codes.

One important application is Code Division Multiple Access (CDMA) cellular mobile radio systems.

In these systems, a spread sequence is generally scrambled prior to transmission using a scrambling code (or sequence), for various reasons including in particular improved protection against interference, or to assure the confidentiality of the information transmitted.

The scrambling of an incoming sequence of L basic symbols or "chips", denoted $(d'_1, d'_2, \dots, d'_L)$, by a scrambling sequence of length L , denoted (v_1, v_2, \dots, v_L) , produces a sequence of length L that can be represented as follows:

$$(d'_1.v_1, d'_2.v_2, \dots, d'_L.v_L)$$

The scrambling sequence can be a very long, typically pseudo-random sequence. A sequence of this kind protects against interfering signals by rendering them random, at least over the duration of said

scrambling sequence.

The scrambling sequence can also be a short sequence, typically of length L equal to the length Q of the spreading code (or an integer multiple thereof), as shown in Figure 2, which uses the same type of representation as Figure 1, and in which $d^{(l)}$ and $d^{(l+1)}$ designate two successive basic symbols (or "chips") of the same spread and scrambled symbol of the incoming sequence. By allocating different scrambling sequences to cells re-using the same spreading codes, this in particular reduces interference between cells. One benefit of these short sequences is producing transmitted signals having a cyclostationarity whose period is proportional to L , enabling efficient use of certain classes of algorithms, as described hereinafter. A description of cyclostationarity can be found in the article "Exploitation of Spectral Redundancy in Cyclostationary Signals", IEEE Signal Processing Magazine, April 1991, pp. 14-36, for example.

Interference between users in the same cell can be reduced by using a decoding algorithm at the receiver enabling interfering signals to be identified as such and therefore rejected, in particular on the basis of the signals received and a knowledge of the codes of the various users. Examples of such decoding algorithms are so-called subtractive detection algorithms or joint-detection algorithms. If all the cyclostationary signals have the same short period, it is in particular possible to use algorithms exploiting their nature. Such algorithms are described, for example, in the article "Interference Rejection in Digital Wireless

Communications", IEEE Signal Processing Magazine, May 1997, pp. 37-62.

There is a need in these cellular mobile radio systems to be able to transmit data at a varying bit rate, in particular when the bit rate of the user itself varies (for example in the case of transmitting multimedia type data), or because, depending on the conditions for propagation of radio signals, a higher or lower degree of redundancy has to be introduced into the data to be transmitted in order to obtain a higher or lower degree of protection against transmission errors.

To increase the bit rate of data to be transmitted by a user for the same allocated frequency band (i.e. for the same duration T_c), allocating the user a plurality of codes of length Q_m if the capacity of a single code of length Q_m is exceeded is known *per se*.

A technique of the above kind has the particular disadvantage of leading to some complexity of implementation.

Another technique known *per se*, which avoids the above disadvantage, is to reduce the length of the code allocated to the user so that the user continues to transmit on only one code in order to increase the bit rate of the data to be transmitted by that user for the same allocated frequency band (i.e. for the same duration T_c). Figure 3 summarizes the principle of a technique of this kind, and uses the same type of representation as Figures 1 and 2, but for two different bit rates of the incoming sequence, respectively identified by suffices 1 and 2, in this instance for three successive symbols d_n , d_{n+1} and d_{n+2} of the incoming sequence, the symbol period

corresponding to Ts_1 for symbol d_n and Ts_2 for symbols d_{n+1} and d_{n+2} , and the code length corresponding to Q_1 for symbol d_n and Q_2 for symbols d_{n+1} and d_{n+2} .

A problem then arises due to the fact that a
 5 cellular mobile radio system may need to manage a
 plurality of users simultaneously whose instantaneous bit
 rates, and therefore whose spreading codes, are different
 and can separately take different values over time. The
 problem is that some algorithms, in particular decoding
 10 algorithms and especially the decoding algorithms
 referred to above, cannot, without modification, accept
 codes of different length for different users (whether at
 the same time or at different times). The
 cyclostationary nature of the sum of all the signals may
 15 have completely disappeared, for example, or it may have
 become too large to be useful.

OBJECTS AND SUMMARY OF THE INVENTION

A particular aim of the present invention is to
 provide a solution to this problem, in particular
 20 avoiding the need to modify these algorithms to enable
 them to accept combinations of codes of different
 lengths.

In one aspect the present invention therefore
 consists in a device enabling different spreading factors
 25 whilst preserving a common scrambling code, in particular
 for transmission in a code division multiple access
 cellular mobile radio system, the device including, on
 transmission, scrambling means for applying a scrambling
 code of length Q_{MAX} which is a multiple of said different
 30 spreading factors, to blocks of Q_{MAX} basic symbols
 obtained by spreading by means of any of said spreading

factors.

Accordingly each of the spread and scrambled sequences obtained in this way has a cyclostationarity of period proportional to Q_{MAX} , enabling efficient use of the interference rejection algorithms referred to hereinabove and consequently avoiding the disadvantages previously mentioned.

In another aspect the present invention also consists in a device enabling different spreading factors whilst preserving a common scrambling code, in particular for transmission in a code division multiple access cellular mobile radio system, the device including, on transmission, for spreading K incoming sequences by means of K respective spreading codes of respective length Q_k ($k=1, \dots, K$) which is a sub-multiple of a maximum length Q_{MAX} , and scrambling the spread sequences obtained in this way:

- means for grouping the various data symbols of the k th incoming sequence ($k=1, \dots, K$) into different blocks of Q_{MAX}/Q_k symbols,
- means for spreading the different blocks from the k th incoming sequence ($k=1, \dots, K$) by means of the corresponding code of length Q_k to obtain a spread sequence including different spread blocks of length Q_{MAX} ,
- means for scrambling each of the K spread sequences obtained in this way using a scrambling code of length Q_{MAX} .

In another aspect the present invention also consists in a device enabling different spreading factors whilst preserving a common scrambling code, in particular for transmission in a code division multiple access

cellular mobile radio system, the device including, on reception, descrambling means for applying a scrambling code of length Q_{MAX} which is a multiple of said different spreading factors , to blocks of Q_{MAX} basic symbols
 5 obtained by spreading by means of any of said spreading factors.

In another aspect the present invention also consists in a device enabling different spreading factors whilst preserving a common scrambling code, in particular
 10 for transmission in a code division multiple access cellular mobile radio system, the device including, on reception, for descrambling and despreading an incoming sequence by means of K respective spreading codes of respective length Q_k ($k=1, \dots, K$) which is a sub-multiple
 15 of a maximum length Q_{MAX} :

- means for descrambling said incoming sequence using a scrambling code of length Q_{MAX} ,
- means for grouping the basic symbols of the spread and descrambled sequence obtained in this way in
 20 different spread blocks of length Q_{MAX} ,
- means for despreading the spread blocks obtained in this way by means of K respective codes to obtain K despread sequences formed of different blocks of Q_{MAX}/Q_k symbols ($k=1, \dots, K$).

25 The present invention also has for its object a mobile station (or mobile terminal), as well as an entity, in particular base transceiver station, for a mobile radiocommunication system, including a device of the above kind.

30 BRIEF DESCRIPTION OF THE DRAWINGS

Other objects and features of the present invention

will become apparent on reading the following description of one embodiment given with reference to the accompanying drawings, in which:

5 - Figure 1 is a diagram showing spectrum spreading of an incoming sequence,

- Figure 2 is a diagram showing spectrum spreading and scrambling of an incoming sequence,

- Figure 3 is a diagram showing spectrum spreading in the case of a variable length spreading code,

10 - Figure 4 is a block diagram of an example of a transmitter for a code division multiple access cellular mobile radio system to which the present invention can be applied,

15 - Figure 5 is a block diagram of an example of a receiver for a code division multiple access cellular mobile radio system to which the present invention can be applied,

20 - Figure 6 is a block diagram of an example of a device in accordance with the invention used on transmission,

- Figure 7 is a block diagram of an example of a device in accordance with the invention used on reception,

25 - Figure 8 is a diagram illustrating the operation of a device in accordance with the invention.

MORE DETAILED DESCRIPTION

The transmitter shown in Figure 4 includes:

- means 2 for spreading K incoming data sequences ST1 to STK using K respective spreading codes $c_{Q_1}^{(1)}$ to $c_{Q_K}^{(K)}$,
- 30 - means 3 for scrambling K data sequences ST'1 to ST'K from the means 2 using a scrambling code c_E ,

- modulator means 4 receiving the various sequences ST"1 to ST"K from the means 3,

- transmitter means 5 receiving the modulated signals from the means 4 and supplying the corresponding radio signals.

The receiver shown in Figure 5 includes:

- receiver means 6,

- demodulator means 7,

- means 8 for descrambling a data sequence SR" from the means 7 using said scrambling code c_z ,

- means 8 for despreading a data sequence SR' from the means 8 using K respective spreading codes $c_{(1)}^{(1)}$ to $c_{(K)}^{(K)}$, and supplying K despread sequences SR1 to SRK to be used in processing means 10 by a decoding algorithm of the type mentioned above to supply a received data sequence SR.

The device in accordance with the invention, used on transmission, can be used in the spreading means 2 and the scrambling means 3 from Figure 4. In this case the device in accordance with the invention can be used in a base transceiver station for spreading incoming data sequences corresponding to different users served by the base transceiver station; it can also be used in a mobile terminal, by allocating different spreading codes to the user.

The device in accordance with the invention used on transmission shown in Figure 6 includes:

- means like the means 311 to 31K for grouping the data symbols of the kth incoming sequence ($k=1, \dots, K$) into

different blocks of Q_{MAX}/Q_K symbols $(d_1^{(k)}, d_2^{(k)}, \dots, d_{Q_{MAX}/Q_K}^{(k)})$.

- means like the means 321 to 32K for spreading the blocks obtained in this way from the kth incoming sequence ($k=1, \dots, K$) using the corresponding code $c_{Q_k}^{(k)}$ to obtain a spread sequence including spread blocks of

5 length $Q_{MAX} \left(d_1^{(k)} \cdot c_{Q_k}^{(k)}, d_2^{(k)} \cdot c_{Q_k}^{(k)}, \dots, d_{Q_{MAX}/Q_k}^{(k)} \cdot c_{Q_k}^{(k)} \right)$,

- means like the means 331 to 33K for scrambling each of the K sequences ST'1 to ST'K obtained in this way using a scrambling code c_r of length Q_{MAX} .

The means such as the means 311 to 31K are
 10 controlled in accordance with the maximal length Q_{MAX} and the corresponding code lengths Q_1 to Q_K . If the length Q_k of at least one of these codes is variable, in particular in accordance with the bit rate of the corresponding incoming sequence, these means enable the number Q_{MAX}/Q_k of
 15 symbols per block to be varied, for the corresponding sequence, so that the product of this number by the length of this code remains constant and equal to Q_{MAX} .

The device in accordance with the invention is used in particular in the descrambling means 8 and the
 20 despread means 9 from Figure 5. In this case of application to despread, the device in accordance with the invention can be used in a base transceiver station or in a mobile terminal to despread an incoming data sequence, not only by means of the spreading code
 25 allocated to a given user (or by means of one of the codes allocated to that user if they are allocated more than code), but also by means of the codes allocated to other users (and possibly other codes allocated to the user in question), in order to use a decoding algorithm
 30 such as those mentioned hereinabove.

The despreading device shown in Figure 7 includes:

- means 34 for descrambling the incoming sequence SR' using a scrambling code c_s of length Q_{MAX} ,

- means 35 for grouping the data symbols of the descrambled sequence SR' obtained in this way into different spread blocks of length Q_{MAX} ,

- means such as the means 361 to 36K for despreading the spread blocks obtained in this way by means of respective codes such as the codes $c_{Q_1}^{(1)}$ to $c_{Q_K}^{(K)}$ to obtain K despread sequences SR1 to SRK formed of different blocks of Q_{MAX}/Q_k symbols ($k=1, \dots, K$).

As indicated above, this avoids the need to modify the decoding algorithm to have it accept combinations of codes of different length.

Implementing the various means constituting the block diagrams of Figures 6 and 7 will not represent any particular problem to the skilled person, so such means need not be described here in more detail than by reference to their function.

Note that these diagrams are theoretical diagrams but clearly in practice the structure can be different, in particular the various component parts of the diagrams can be grouped together in common signal processor means.

The operation of a spreading or despreading device in accordance with the invention is shown in Figure 8, where S corresponds to a non-spread and non-scrambled sequence, S' corresponds to a spread and non-scrambled sequence, and S'' corresponds to a spread and scrambled sequence.

The figure shows, by way of example:

- two successive blocks B_i and B_{i+1} of length

Q_{MAX}/Q_K of data symbols from the sequence S ,

- two successive spread blocks $B'i$ and $B'i+1$ of length Q_{MAX} of the spread sequence S' ,

- two successive spread blocks $B''i$ and $B''i+1$ of length Q_{MAX} of the spread and scrambled sequence S'' .

Note also that what has been described can be varied in diverse ways; in particular, for improved efficiency, the spreading code can be different for the various symbols of a data sequence to which it applies.

CLAIMS

1. Device enabling different spreading factors whilst preserving a common scrambling code, in particular for transmission in a code division multiple access cellular mobile radio system, the device including, on transmission, scrambling means for applying a scrambling code of length Q_{MAX} which is a multiple of said different spreading factors, to blocks of Q_{MAX} basic symbols obtained by spreading by means of any of said spreading factors.

2. Device according to claim 1, including, on transmission, for spreading K incoming sequences by means of K respective spreading codes of respective length Q_k ($k=1, \dots, K$) which is a sub-multiple of a maximum length Q_{MAX} , and scrambling the spread sequences obtained in this way:

- means for grouping the various data symbols of the k th incoming sequence ($k=1, \dots, K$) into different blocks of Q_{MAX}/Q_k symbols,
- means for spreading the different blocks from the k th incoming sequence ($k=1, \dots, K$) by means of the corresponding code of length Q_k to obtain a spread sequence including different spread blocks of length Q_{MAX} ,
- means for scrambling each of the K spread sequences obtained in this way using a scrambling code of length Q_{MAX} .

3. Device enabling different spreading factors whilst preserving a common scrambling code, in particular for transmission in a code division multiple access cellular mobile radio system, the device including, on

reception, descrambling means for applying a scrambling
code of length Q_{MAX} which is a multiple of said different
spreading factors , to blocks of Q_{MAX} basic symbols
obtained by spreading by means of any of said spreading
5 factors.

4. Device according to claim 3, including, on
reception, for descrambling and despreading an incoming
10 sequence by means of K respective spreading codes of
respective length Q_k ($k=1, \dots, K$) which is a sub-multiple
of a maximum length Q_{MAX} :

- means for descrambling said incoming sequence
using a scrambling code of length Q_{MAX} ,
- 15 • means for grouping the basic symbols of the spread
and descrambled sequence obtained in this way in
different spread blocks of length Q_{MAX} ,
- means for despreading the spread blocks obtained
in this way by means of K respective codes to obtain K
20 despread sequences formed of different blocks of Q_{MAX}/Q_k
symbols ($k=1, \dots, K$).

5. A mobile station for a mobile radiocommunication
system, comprising a device according to any of claims 1
to 4.

25 6. An entity, in particular base transceiver
station, for a mobile radiocommunication system,
comprising a device according to any of claims 1 to 4.

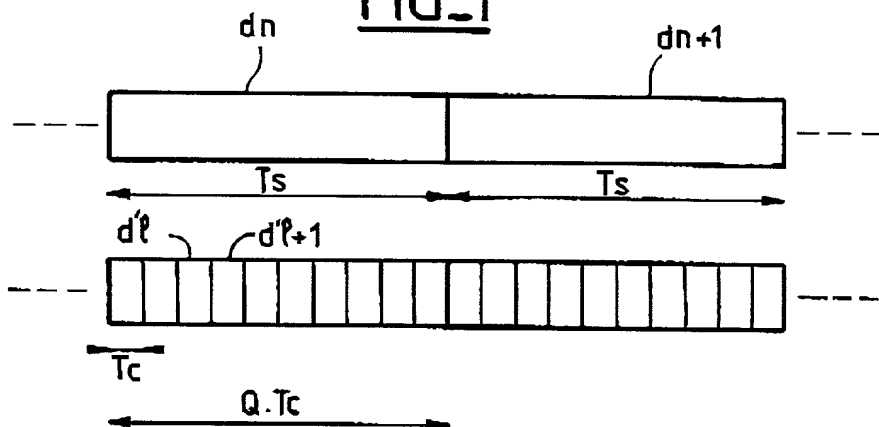
A B S T R A C T

Device enabling different spreading factors whilst preserving a common scrambling code, in particular for transmission in a code division multiple access cellular mobile radio system, the device including, on transmission, for spreading K incoming sequences by means of K respective spreading codes of respective length Q_k ($k=1, \dots, K$) which is a sub-multiple of a maximum length Q_{MAX} , and scrambling the spread sequences obtained in this way:

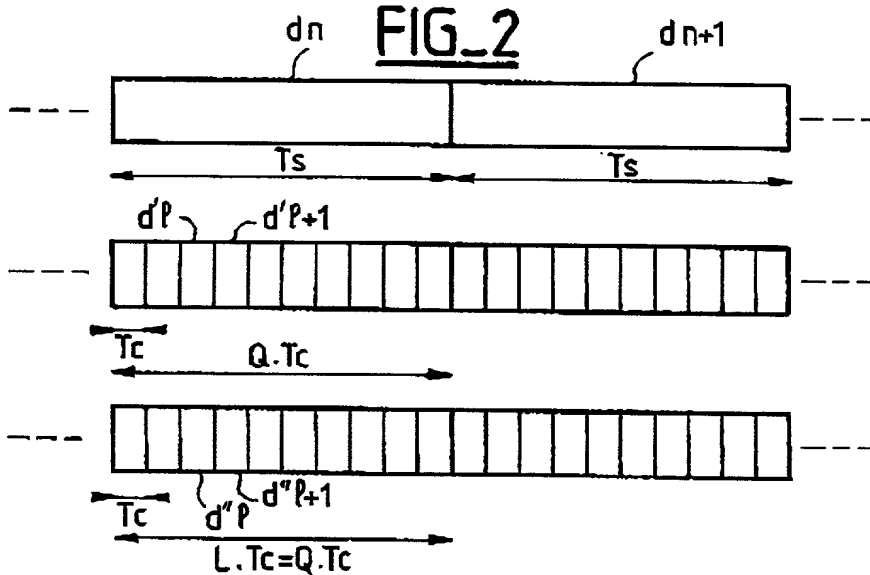
- means for grouping the various data symbols of the kth incoming sequence ($k=1, \dots, K$) into different blocks of Q_{MAX}/Q_k symbols,
- means for spreading the blocks from the kth incoming sequence ($k=1, \dots, K$) by means of the corresponding code of length Q_k to obtain a spread sequence including different spread blocks of length Q_{MAX} ,
- means for scrambling each of the K spread sequences obtained in this way using a scrambling code of length Q_{MAX} .

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FIG_1



FIG_2



FIG_3

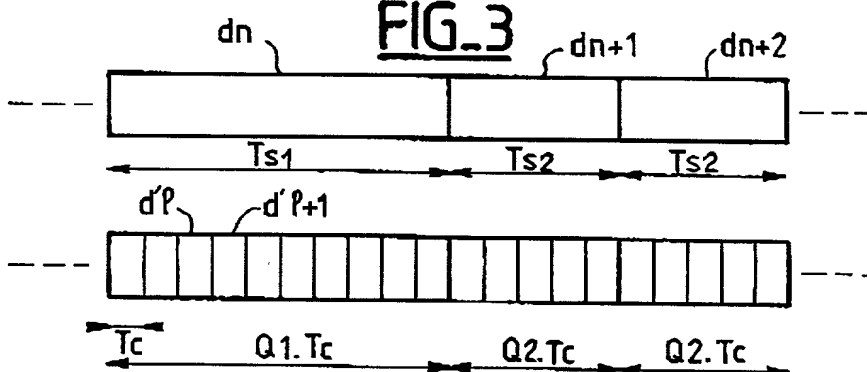


FIG. 4

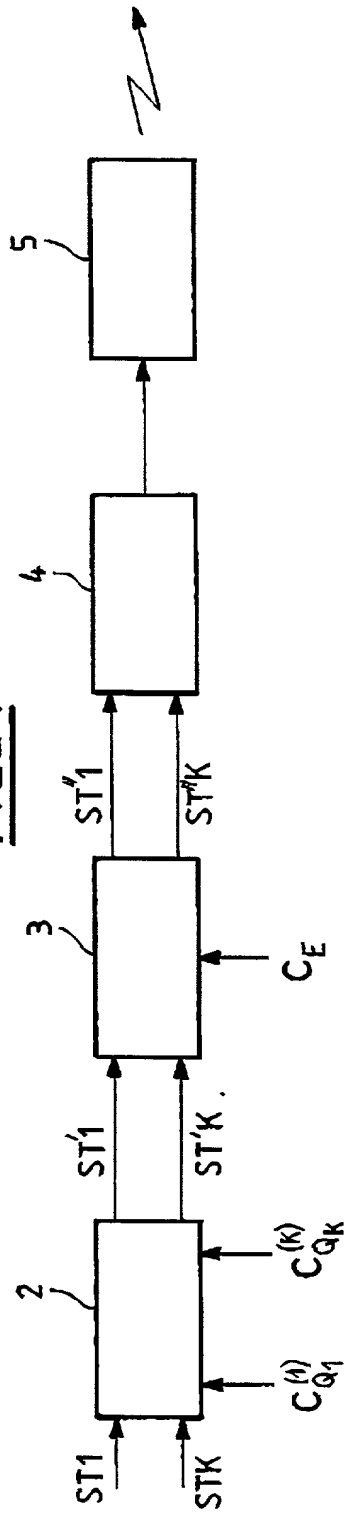


FIG. 5

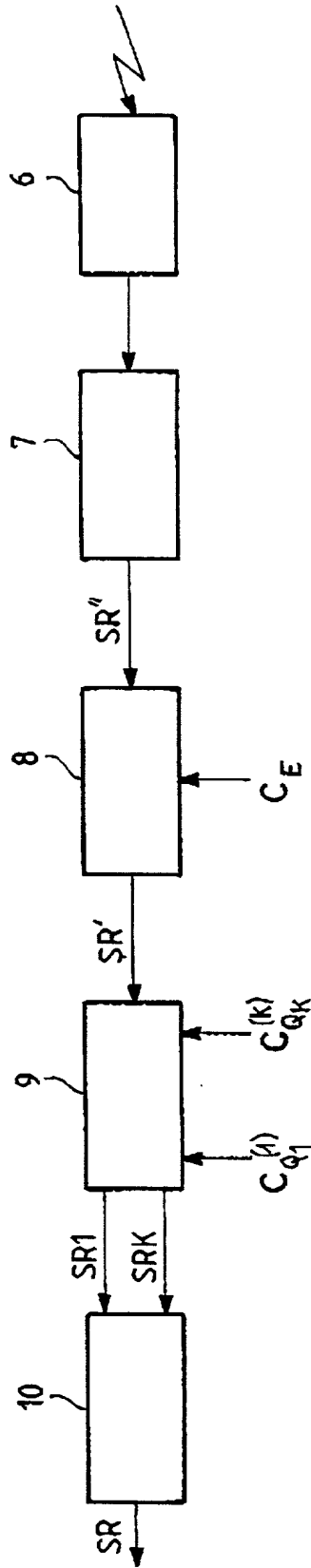
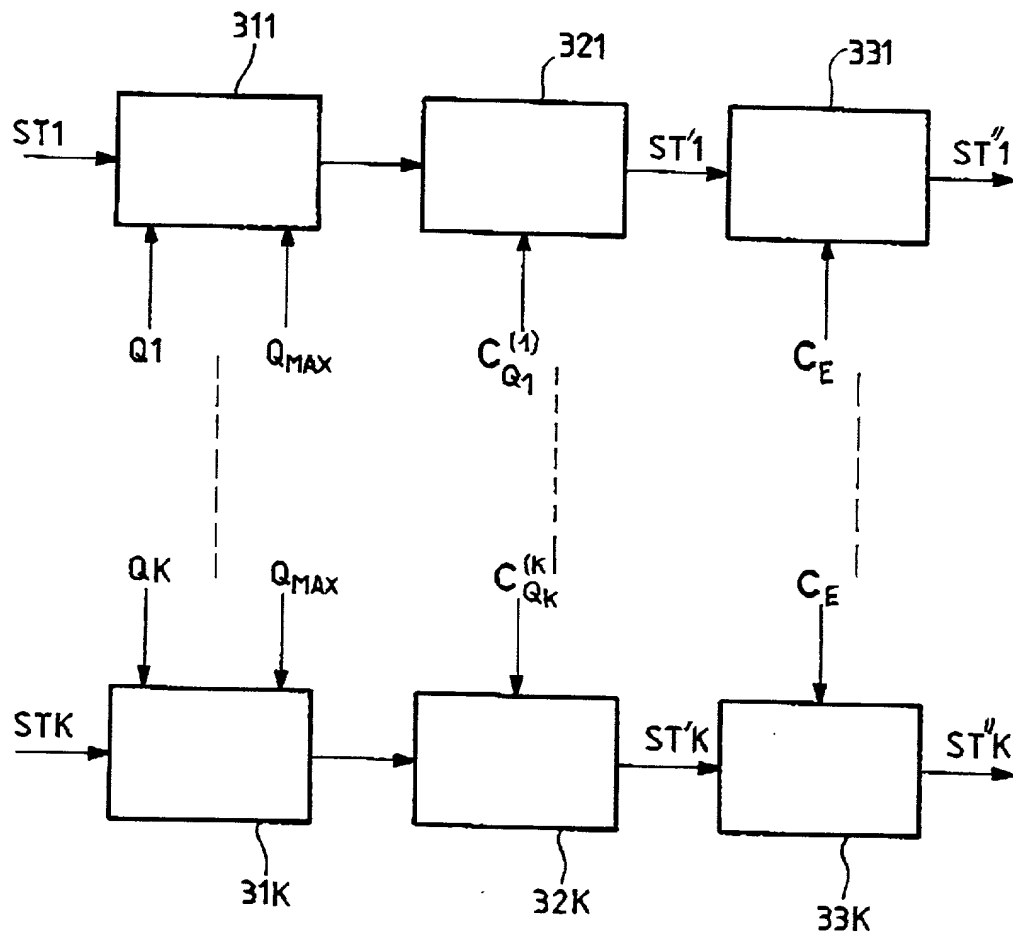
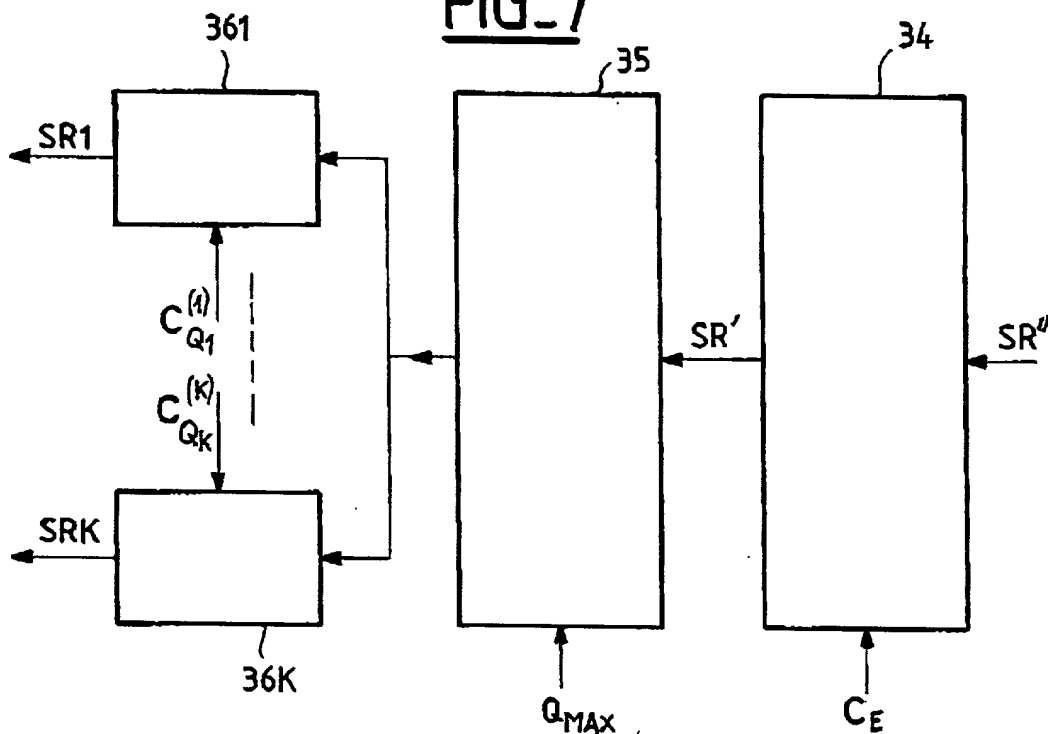


FIG. 6



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FIG_7



FIG_8

